



OKLAHOMA ETHICS COMMISSION

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting February 12, 2021, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, Room 230, 2300 N. Lincoln Blvd., Oklahoma City, Oklahoma.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Stocker
2. Open Meeting Act Compliance. Chair Stocker
3. Introductions and Announcements. Chair Stocker
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. Consideration, discussion, and possible action on minutes for the regular meeting, public hearing, and executive session held December 11, 2020 and the special meeting and executive session held December 31, 2020. Chair Stocker
6. Consideration, discussion, and possible action on increasing individual contribution limits to \$2,900 for the 2022 primary, runoff primary, and general election campaigns in accordance with Rule 2.37. Chair Stocker
7. General Counsel's Report. Ms. McCord
 - a. Notable cases and trends in Oklahoma and other jurisdictions
 - b. 2021 Legislative Session Report
8. Executive Director's Report. Ms. Kemp
 - a. Financial Summary for December 2020 and January 2021
 - b. Confirmation FY 22 Budget and Promulgated Rules Delivered to Governor and Legislature
 - c. FY 22 Budget Update
 - d. Lobbyist, Liaison, Lobbyist Principal Registration
 - e. Political Action Committee Registration
 - f. Compliance and Education Report
9. Consideration, discussion, and possible action in Case No. 2019-06, alleging violations of campaign finance Ethics Rule 2, pursuant to Rule 6.10, to approve Respondent(s) request to

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

supplement the written response with an oral response during executive session at a date and time to be coordinated by Commission staff and Respondent(s) or Respondent(s) counsel. Chair Stocker

10. **Optional Executive Session to Consider Agenda Item 9:** Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matter(s) identified in Agenda item 9. Chair Stocker

a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in Room 230 of the State Capitol.

11. Action to return to open session. Chair Stocker

12. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below in Agenda item 13. Chair Stocker

a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in the Ethics Commission office, Room G-27, of the State Capitol.

13. **Executive Session.** Chair Stocker

a. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. Complaints

1. C-21-1

2. C-21-2

c. Cases/Investigations

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

1. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
2. Case No. 2018-16, alleging violations of Campaign Finance Ethics Rule 2
14. Action to return to open session. Chair Stocker
15. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for Executive Session. General Counsel McCord
16. Consideration, discussion, and possible action on items considered in executive session. Chair Stocker
 - a. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D
 - b. Complaints**
 1. C-21-1
 2. C-21-2
 - c. Cases/Investigations**
 1. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
 2. Case No. 2018-16, alleging violations of Campaign Finance Ethics Rule 2
17. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Stocker
18. Adjournment. Chair Stocker

NEXT REGULAR MEETING-
March 12, 2021 at 10:00 a.m.
Will Rogers Building, Room 214
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting March 12, 2021, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, Room 230, 2300 N. Lincoln Blvd., Oklahoma City, Oklahoma.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Stocker
2. Open Meeting Act Compliance. Chair Stocker
3. Introductions and Announcements. Chair Stocker
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. Consideration, discussion, and possible action on minutes for the regular meeting, public hearing, and executive session held December 11, 2020 and the special meeting and executive session held December 31, 2020. Chair Stocker
6. Consideration, discussion, and possible action on increasing individual contribution limits to \$2,900 for the 2022 primary, runoff primary, and general election campaigns in accordance with Rule 2.37. Chair Stocker
7. Consideration, discussion, and possible action on request for waiver of Ethics Rule 5, Lobbyist Registration and Reporting, based upon a preexisting relationship pursuant to Rule 5.25. Ms. McCord.

W-21-01, Request by Jason Reese for a waiver of Rules for preexisting relationship with Brett Farley.
8. General Counsel's Report. Ms. McCord
 - a. Notable cases and trends in Oklahoma and other jurisdictions
 - b. 2021 Legislative Session Report
9. Executive Director's Report. Ms. Kemp
 - a. Financial Summary for December 2020, January 2021, and February 2021
 - b. Confirmation FY 22 Budget and Promulgated Rules Delivered to Governor and Legislature
 - c. FY 22 Budget Update

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

- d. Lobbyist, Liaison, Lobbyist Principal Registration
 - e. Political Action Committee Registration
 - f. Compliance and Education Report
10. Consideration, discussion, and possible action in Case No. 2019-06, alleging violations of campaign finance Ethics Rule 2, pursuant to Rule 6.10, to approve Respondent(s) request to supplement the written response with an oral response during executive session at a date and time to be coordinated by Commission staff and Respondent(s) or Respondent(s) counsel. Chair Stocker
11. **Optional Executive Session to Consider Agenda Item 10:** Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matter(s) identified in Agenda item 10. Chair Stocker
- a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel's advice, to go into executive session in Room 230 of the State Capitol.
12. Action to return to open session. Chair Stocker
13. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below in Agenda item 14. Chair Stocker
- a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel's advice, to go into executive session in the Ethics Commission office, Room G-27, of the State Capitol.

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

14. **Executive Session.** Chair Stocker

a. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. Complaints

1. C-20-9

2. C-21-1

c. Cases/Investigations

1. Case No. 2017-10, alleging violations of Campaign Finance Ethics Rule 2, *Oklahoma Ethics Commission v. Oklahoman's for Healthy Living, et al.*, Oklahoma County CJ-2019-5908

2. Case No. 2018-16, alleging violations of Campaign Finance Ethics Rule 2

3. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2

4. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2

15. Action to return to open session. Chair Stocker

16. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for Executive Session. General Counsel McCord

17. Consideration, discussion, and possible action on items considered in executive session. Chair Stocker

a. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. Complaints

1. C-20-9

2. C-21-1

c. Cases/Investigations

1. Case No. 2017-10, alleging violations of Campaign Finance Ethics Rule 2, *Oklahoma Ethics Commission v. Oklahoman's for Healthy Living, et al.*, Oklahoma County CJ-2019-5908

2. Case No. 2018-16, alleging violations of Campaign Finance Ethics Rule 2

3. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2

4. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

18. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Stocker

19. Adjournment. Chair Stocker

NEXT REGULAR MEETING-
April 9, 2021 at 10:00 a.m.
Oklahoma State Capitol Building Room 230
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting April 9, 2021, at 10:00 o'clock a.m. The Commission will meet in Room 230 at the Oklahoma State Capitol Building, 2300 N. Lincoln Boulevard, Oklahoma City, OK.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Stocker
2. Open Meeting Act Compliance. Chair Stocker
3. Introductions and Announcements.
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held March 12, 2021. Chair Stocker
6. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the month of March 2021
 - b. FY 22 Budget Request
 - c. Compliance and Education Report
 - d. Guardian System Updates
 - e. Community Feedback. This may be from a single entity, multiple entities together or separately, or issues that recurrently arise from the category indicated.

Campaign Finance

1. Use of campaign funds for officeholders to purchase gifts of small value for visitors, in particular students, to their offices at the capitol.
2. Use of campaign funds for officeholders to purchase gifts of small value for students serving in the Page programs of the House and Senate.
3. Review of campaign fund expenditure categories to provide more clarity for what expenses are campaign related, officeholder related, or surplus funds.

Lobbyist Expenditures

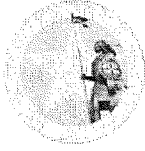
1. Review expenditure lump sum category of food and beverage provided for a “caucus event” to include events that do not include caucus business.
 2. Consider implementing a non-solicitation requirement for gifts from lobbyists and liaisons for state officers and employees.
7. General Counsel’s Report. Ms. McCord
- a. Notable cases and trends in Oklahoma and other jurisdictions
 - b. 2021 Legislative Session Report
8. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below in agenda item 9. Chair Stocker
9. Executive Session. Chair Stocker
- a. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D
 - b. COMPLAINTS
 1. C-21-6
 2. C-21-9
 3. C-21-10
 4. C-21-12
 - c. CASES/INVESTIGATIONS
 1. Case No. 2017-10, alleging violations of Campaign Finance Ethics Rule 2, *Oklahoma Ethics Commission v. Oklahoman’s for Healthy Living, et al.*, Oklahoma County CJ-2019-5908.
 2. Case No. 2018-16, alleging violations of Campaign Finance Ethics Rule 2
 3. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2
 4. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
10. Action to return to open session. Chair Stocker
11. Consideration, discussion, and possible action on items considered in executive session. Chair Stocker
- a. COMPLAINTS

1. C-21-6
2. C-21-9
3. C-21-10
4. C-21-12

b. CASES/INVESTIGATIONS

1. Case No. 2017-10, alleging violations of Campaign Finance Ethics Rule 2, *Oklahoma Ethics Commission v. Oklahoman's for Healthy Living, et al.*, Oklahoma County CJ-2019-5908.
 2. Case No. 2018-16, alleging violations of Campaign Finance Ethics Rule 2
 3. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2
 4. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
12. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Stocker
13. Adjournment. Chair Stocker

-NEXT REGULAR MEETING-
May 14, 2021 at 10:00 a.m.
State Capitol Building Room 230
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting June 11, 2021, at 10:00 o'clock a.m. The Commission will meet in Room 230 at the Oklahoma State Capitol Building, 2300 N. Lincoln Boulevard, Oklahoma City, OK.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Stocker
2. Open Meeting Act Compliance. Chair Stocker
3. Introductions and Announcements. Chair Stocker
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. Director Kemp
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held April 9, 2021. Chair Stocker
6. Consideration, discussion, and possible action on registration and administration fees for The Guardian System for FY 2022 for the following entities. Chair Stocker
 - a. Annual fee for lobbyists, legislative liaisons, and lobbyist principals
 - b. Annual fee for Political Party Committees
 - c. Annual fee for Political Action Committees
 - d. Per campaign fee for Candidate Committees
7. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the months of April and May 2021
 - b. FY 22 Budget and Budget Work Program Update
 - c. FY 22 Audit
 - d. 2021 Legislative Report including Status of Promulgated Ethics Rules
 - e. Compliance Fees
 - f. Compliance and Education Report
 - g. Guardian System Updates

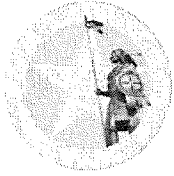
- h. Community Feedback. This may be from a single entity, multiple entities together or separately, or issues that recurrently arise from the category indicated.

Campaign Finance

- 1. Extend the reimbursement period for candidate committee reimbursement to candidates from 90 days to 180 days.
 - 2. Ensure all elected officers, regardless of whether they are “candidates” within the Ethics Rules, only serve as officers of their own candidate committee and not a political action committee, political party committee, or other candidate’s committee.
8. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified in agenda item 9. Chair Stocker
- a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel’s advice, to go into executive session in the Ethics Commission office, Room G-27, of the Oklahoma State Capitol Building, 2300 N. Lincoln Boulevard, Oklahoma City, OK.
9. Executive Session. Chair Stocker
- a. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D
 - b. COMPLAINTS
 - 1. C-21-28
 - c. CASES/INVESTIGATIONS
 - 1. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2
 - 2. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
 - 3. Case No. 2021-09, alleging violations of Campaign Finance Ethics Rule 2

10. Action to return to open session. Chair Stocker
11. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for discussion in Executive Session in agenda item 9.
Director Kemp
12. Consideration, discussion, and possible action on items considered in executive session.
Chair Stocker
 - a. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D
 - a. COMPLAINTS
 1. C-21-28
 - b. CASES/INVESTIGATIONS
 1. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2
 2. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
 3. Case No. 2021-09, alleging violations of Campaign Finance Ethics Rule 2
13. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Stocker
14. Adjournment. Chair Stocker

-NEXT REGULAR MEETING-
July 9, 2021 at 10:00 a.m.
State Capitol Building Room 230
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting August 13, 2021, at 10:00 o'clock a.m. The Commission will meet at the Oklahoma State Capitol, Room 230, 2300 N. Lincoln Blvd., Oklahoma City, Oklahoma.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Stocker
2. Open Meeting Act Compliance. Chair Stocker
3. Introductions and Announcements. Chair Stocker
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held June 11, 2021. Chair Stocker
6. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the months of June and July 2021
 - b. FY 22 Budget Work Program
 - c. Administrative Compliance Order Process Update
 - d. Compliance and Education Report
7. General Counsel's Report. Ms. McCord
 - a. Notable cases and trends in Oklahoma and other jurisdictions
8. Comments to Ethics Commission by *Oklahoma Society of Professional Advocates* through its Director Geoffrey Long regarding the process under Ethics Rule 6.19 for the issuance of compliance orders assessing late filing fees to lobbyists and liaisons. Chair Stocker.
 - a. Comments by Geoffrey Long
 - b. Comments and discussion by Commissioners and Staff
9. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified in agenda item 10. Chair Stocker

- a. **Statement by Attorney.** The information to be discussed in agenda item 10 requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions, where disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
- b. Determination, pursuant to Counsel's advice as set forth above, to go into executive session in the Ethics Commission office, Room G-27, of the Oklahoma State Capitol.

10. Executive Session. Chair Stocker

a. LAWSUITS

1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-19-5
2. C-20-3

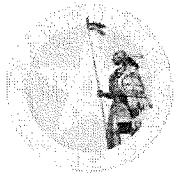
c. CASES/INVESTIGATIONS

1. Case No. 2017-07, alleging violation of Campaign Finance Ethics Rule 257, Chapter 10
2. Case No. 2017-09, alleging violations of Campaign Finance Ethics Rule 2
3. Case No. 2018-13, alleging violations of Campaign Finance Ethics Rule 2
4. Case No. 2018-20, alleging violations of Campaign Finance Ethics Rule 2
5. Case No. 2018-22, alleging violations of Campaign Finance Ethics Rule 2
6. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
7. Case No. 2019-07, alleging violations of Campaign Finance Ethics Rule 2
8. Case No. 2021-10, alleging violations of Campaign Finance Ethics Rule 2

11. Action to return to open session. Chair Stocker

12. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for discussion in Executive Session in agenda item 10.
General Counsel McCord
13. Consideration, discussion, and possible action on the following items considered in executive session. Chair Stocker
 - a. LAWSUITS
 1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D
 - b. COMPLAINTS
 1. C-19-5
 2. C-20-3
 - c. CASES/INVESTIGATIONS
 1. Case No. 2017-07, alleging violation of Campaign Finance Ethics Rule 257, Chapter 10
 2. Case No. 2017-09, alleging violations of Campaign Finance Ethics Rule 2
 3. Case No. 2018-13, alleging violations of Campaign Finance Ethics Rule 2
 4. Case No. 2018-20, alleging violations of Campaign Finance Ethics Rule 2
 5. Case No. 2018-22, alleging violations of Campaign Finance Ethics Rule 2
 6. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
 7. Case No. 2019-07, alleging violations of Campaign Finance Ethics Rule 2
 8. Case No. 2021-10, alleging violations of Campaign Finance Ethics Rule 2
14. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Stocker
15. Adjournment. Chair Stocker

-NEXT REGULAR MEETING-
Friday, September 10, 2021 at 10:00 a.m.
Oklahoma State Capitol Room 230
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

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AGENDA

1. Call to order and determination of quorum present. Chair Stocker
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3. Introductions and Announcements. Chair Stocker
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held August 13, 2021. Chair Stocker
6. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the month of August 2021
 - b. Guardian System Change Order Requests and Estimates
 - c. Administrative Compliance Order Process Update
 - d. Compliance and Education Report
 - e. COGEL Conference 2021
7. General Counsel's Report. Ms. McCord
 - a. Notable cases and trends in Oklahoma and other jurisdictions
8. Consideration, discussion, and possible action on adoption as a Commission policy that the lobbyist principal be notified of any registration or renewal of a legislative liaison or legislative lobbyist on behalf of the lobbyist principal as contemplated in Rule 5.3. Commissioner Laster
9. Consideration, discussion, and possible action on adoption as a Commission policy that the lobbyist principal be notified of any registration or renewal of an executive lobbyist on behalf of the lobbyist principal as contemplated in Rule 5.5. Commissioner Laster

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

10. Consideration, discussion, and possible action on adoption as a Commission policy that a notification be sent to a legislative liaison or legislative lobbyist of filing deadlines seven days prior to any report filing deadline in Rule 5.19, and, also, one day prior to said deadline and within 24 hours after the deadline for any unfiled report. Commissioner Laster
11. Consideration, discussion, and possible action on adoption as a Commission policy that a notification be sent to an executive lobbyist of filing deadlines seven days prior to any report filing deadline in Rule 5.20, and, also, one day prior to said deadline and within 24 hours after the deadline for any unfiled report. Commissioner Laster
12. Consideration, discussion, and possible action on directing the Executive Director to determine the cost of re-programming the Guardian System to send notices of a proposed compliance order and related communications only to the Executive Lobbyist, Legislative Lobbyist, or Legislative Liaison, and not the Lobbyist Principal, until such time that a Compliance Order becomes final and the compliance fee has not been paid within 30 days of that finality. Further, that notice will, thereafter, be sent to the Lobbyist Principal if a final Compliance Order has not been paid within 30 days of finality. Commissioner Laster
13. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified in agenda item 14. Chair Stocker
 - a. **Statement by Attorney.** The information to be discussed in agenda item 14 requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions, where disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to Counsel's advice as set forth above, to go into executive session in the Ethics Commission office, Room G-27, of the Oklahoma State Capitol.
14. Executive Session. Chair Stocker
 - a. **LAWSUITS**
 1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-20-3
2. C-20-8
3. C-20-12
4. C-20-13
5. C-20-17
6. C-20-18

c. CASES/INVESTIGATIONS

1. Case No. 2018-10, alleging violations of Campaign Finance Ethics Rule 2
 2. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
15. Action to return to open session. Chair Stocker
16. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for discussion in Executive Session in agenda item 14.
General Counsel McCord
17. Consideration, discussion, and possible action on the following items considered in executive session. Chair Stocker

a. LAWSUITS

1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-20-3
2. C-20-8
3. C-20-12
4. C-20-13
5. C-20-17
6. C-20-18

c. CASES/INVESTIGATIONS

1. Case No. 2018-10, alleging violations of Campaign Finance Ethics Rule 2
2. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2

18. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Stocker

19. Adjournment. Chair Stocker

-NEXT REGULAR MEETING-
October 8, 2021 at 10:00 a.m.
Oklahoma State Capitol Room 230
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF SPECIAL MEETING

The Ethics Commission of the State of Oklahoma will hold a special meeting October 22, 2021, at 10:00 o'clock a.m. The Commission will meet at the Oklahoma State Capitol, Room 230, 2300 N. Lincoln Blvd., Oklahoma City, Oklahoma.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Stocker
2. Open Meeting Act Compliance. Chair Stocker
3. Introductions and Announcements. Chair Stocker
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held September 10, 2021. Chair Stocker
6. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the month of September 2021
 - b. FY 23 Budget Request
 - c. Administrative Compliance Order Process Update
 - d. Compliance and Education Report
 - e. COGEL Conference 2021
7. General Counsel's Report. Ms. McCord
 - a. Notable cases and trends in Oklahoma and other jurisdictions
8. Consideration, discussion, and possible action on adoption as a Commission policy that the lobbyist principal be notified of any registration or renewal of a legislative liaison or legislative lobbyist on behalf of the lobbyist principal as contemplated in Rule 5.3. Commissioner Laster
9. Consideration, discussion, and possible action on adoption as a Commission policy that the lobbyist principal be notified of any registration or renewal of an executive lobbyist on behalf of the lobbyist principal as contemplated in Rule 5.5. Commissioner Laster

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

10. Consideration, discussion, and possible action on adoption as a Commission policy that a notification be sent to a legislative liaison or legislative lobbyist of filing deadlines seven days prior to any report filing deadline in Rule 5.19, and, also, one day prior to said deadline and within 24 hours after the deadline for any unfiled report. Commissioner Laster
11. Consideration, discussion, and possible action on adoption as a Commission policy that a notification be sent to an executive lobbyist of filing deadlines seven days prior to any report filing deadline in Rule 5.20, and, also, one day prior to said deadline and within 24 hours after the deadline for any unfiled report. Commissioner Laster
12. Consideration, discussion, and possible action on directing the Executive Director to determine the cost of re-programming the Guardian System to send notices of a proposed compliance order and related communications only to the Executive Lobbyist, Legislative Lobbyist, or Legislative Liaison, and not the Lobbyist Principal, until such time that a Compliance Order becomes final and the compliance fee has not been paid within 30 days of that finality. Further, that notice will, thereafter, be sent to the Lobbyist Principal if a final Compliance Order has not been paid within 30 days of finality. Commissioner Laster
13. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(1), (B)(4) and (B)(7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified in agenda item 14. Chair Stocker
 - a. **Statement by Attorney.** The information to be discussed in agenda item 14 requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions, where disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to Counsel's advice as set forth above, to go into executive session in the Ethics Commission office, Room G-27, of the Oklahoma State Capitol.
14. Executive Session. Chair Stocker
 - a. **LAWSUITS**
 1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-19-5
2. C-20-5
3. C-20-16
4. C-21-1
5. C-21-4

c. CASES/INVESTIGATIONS

1. Case No. 2018-10, alleging violations of Campaign Finance Ethics Rule 2
2. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2
3. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
4. Case No. 2020-01, alleging violations of Campaign Finance Ethics Rule 2
5. Case No. 2020-02, alleging violations of Campaign Finance Ethics Rule 2
6. Case No. 2021-10, alleging violations of Campaign Finance Ethics Rule 2
 - a. Including consideration and discussion pursuant to Ethics Rule 6.10, to approve Respondent(s) request for a second extension of time to respond and/or supplement the response provided.

- d. Discussion of annual employment performance evaluation, including establishment or modification of employment performance standards, for the Executive Director

15. Action to return to open session. Chair Stocker

16. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for discussion in Executive Session in agenda item 14.
General Counsel McCord

17. Consideration, discussion, and possible action on the following items considered in executive session. Chair Stocker

a. LAWSUITS

1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-19-5
2. C-20-5
3. C-20-16

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

4. C-21-1

5. C-21-4

c. CASES/INVESTIGATIONS

1. Case No. 2018-10, alleging violations of Campaign Finance Ethics Rule 2

2. Case No. 2019-04, alleging violations of Campaign Finance Ethics Rule 2

3. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2

4. Case No. 2020-01, alleging violations of Campaign Finance Ethics Rule 2

5. Case No. 2020-02, alleging violations of Campaign Finance Ethics Rule 2

6. Case No. 2021-10, alleging violations of Campaign Finance Ethics Rule 2

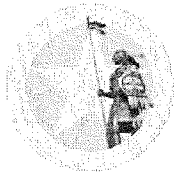
- a. Including consideration and discussion pursuant to Ethics Rule 6.10, to approve Respondent(s) request for a second extension of time to respond and/or supplement the response provided.

18. Nomination, consideration, and action on election of Commission Chair beginning at the adjournment of this meeting through the adjournment of the meeting following September 30, 2022. Chair Stocker

19. Nomination, consideration, and action on election of Commission Vice-Chair beginning at the adjournment of this meeting through the adjournment of the of the meeting following September 30, 2022. Chair Stocker

20. Adjournment. Chair Stocker

-NEXT REGULAR MEETING-
November 12, 2021 at 10:00 a.m.
Oklahoma State Capitol Room 230
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING AND PUBLIC COMMENT

The Ethics Commission of the State of Oklahoma will hold a regular meeting November 12, 2021, at 10:00 o'clock a.m. The Commission will meet at the Oklahoma State Capitol, Room 230, 2300 N. Lincoln Blvd., Oklahoma City, Oklahoma.

Public Comment Opportunity. The Commission will take comment from the public regarding the extent to which the Commission notifies lobbyist principals, lobbyists, and legislative liaisons regarding registrations, reporting deadlines, and compliance orders for late filings as described more specifically in agenda item 5 below. Comments may be made in person during the Public Comment portion of the meeting or in writing so long as it is received by Commission Staff not later than 4:30 p.m. November 10, 2021 at Ethics@ethics.ok.gov or 2300 N. Lincoln Boulevard, G-27, Oklahoma City, OK 73105. The Chair will announce time limits that apply (if any) to the public comments at the beginning of the Public Comment agenda item.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Engle
2. Open Meeting Act Compliance. Chair Engle
3. Introductions and Announcements. Chair Engle
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. **PUBLIC COMMENT.** Chair Engle
 - a. Explanation by Director Kemp.
 - b. Comment from the public will be taken and is limited to the following items.
 - i) Notifying lobbyist principals of any legislative liaison, legislative lobbyist, or executive lobbyist who includes the lobbyist principal on their registration.
 - ii) A requirement that notifications be sent to a legislative liaison, legislative lobbyist, or lobbyist principal 7 days prior to a deadline, 1 day prior to a deadline, and within 24 hours following a deadline by those who have not filed a required report prior to the notification being issued.
 - iii) Removing lobbyist principals from the notifications that are sent to lobbyists and liaisons representing the lobbyist principal of Proposed or Final Compliance Orders

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

regarding late filings and only notifying a lobbyist principal for a lobbyist or liaison who has filed a report late if the late filing fee has not been paid within 30 days of the Final Order.

- c. Consideration and discussion of the items listed for public comment by Commissioners and Staff.
- 6. Consideration, discussion, and possible action on minutes for the special meeting and executive session held October 22, 2021. Chair Engle
- 7. Consideration, discussion, and possible action on meeting dates and times for calendar year 2022. Chair Engle
 - a. The following dates are proposed in accordance with the schedule historically used by the Commission meeting at 10:00 a.m. on the 2nd Friday of each calendar month, but other dates and times may be considered.

Friday, January 14, 2022.	Friday, July 8, 2022
Friday, February 11, 2022	Friday, August 12, 2022
Friday, March 11, 2022	Friday, September 9, 2022
Friday, April 8, 2022	Friday, October 14, 2022
Friday, May 13, 202	**Friday, November 11, 2022** **State Offices Closed** . May move meeting to November 18, 2022.
Friday, June 10, 2022	Friday, December 9, 2022

- 8. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the month of October 2021
 - b. FY 23 Budget Request Hearing
 - c. Administrative Compliance Order Process Update
 - d. Compliance and Education Report
 - e. Update on The Guardian System estimate regarding removing lobbyist principals from being copied on Proposed Compliance Orders for Late Filings, and Final Compliance Orders for Late Filings, and adding a process to notify lobbyist principals of a Compliance Order that remains unpaid more than 30 days after a Final Order has been issued.
 - f. Feedback from the Regulated Community.

1. Elected Officials:

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

- A. Consideration of Rulemaking regarding pre-election reporting requirements to require that for those elections where a candidate's name is on the ballot, any contributions received prior to the election date, the contributions be deposited prior to the date of the election.
- B. Consideration of Rulemaking to repeal a 2015 change that applied to judicial candidates. This change would ensure all candidates follow the same reporting schedule.
- C. A committee in conditional status for nonpayment of a registration fee is unable to file reports until that fee is paid. On reporting deadlines the filer is unable to pay the fee and have the "conditional" status removed when Commission offices are closed. When the only reason the committee is in "Conditional Status" is lack of payment, the Commission should consider not assessing late fees if the report is filed on the next available business day.

- 2. **Lobbyist:** Consideration of a grace period for filing reports after a deadline and issuing Proposed Compliance Orders only for those reports filed outside of the grace period.

9. General Counsel's Report. Ms. McCord

- a. Notable cases and trends in Oklahoma and other jurisdictions

10. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (B)(7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified in agenda item 11. Chair Engle

- a. **Statement by Attorney.** The information to be discussed in agenda item 11 requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions, where disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
- b. Determination, pursuant to Counsel's advice as set forth above, to go into executive session in the Ethics Commission office, Room G-27, of the Oklahoma State Capitol.

11. Executive Session. Chair Engle

a. **LAWSUITS**

- 1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

b. COMPLAINTS

1. C-20-19	6. C-21-13	11. C-21-18	16. C-21-23	21. C-21-31
2. C-21-3	7. C-21-14	12. C-21-19	17. C-21-24	22. C-21-32
3. C-21-5	8. C-21-15	13. C-21-20	18. C-21-25	23. C-21-33
4. C-21-7	9. C-21-16	14. C-21-21	19. C-21-26	24. C-21-35
5. C-21-12	10. C-21-17	15. C-21-22	20. C-21-30	

c. CASES/INVESTIGATIONS

1. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
2. Case No. 2020-02, alleging violations of Campaign Finance Ethics Rule 2

12. Action to return to open session. Chair Engle
13. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for discussion in Executive Session in agenda item 11. General Counsel McCord
14. Consideration, discussion, and possible action on the following items considered in executive session. Chair Engle

a. LAWSUITS

1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-20-19	6. C-21-13	11. C-21-18	16. C-21-23	21. C-21-31
2. C-21-3	7. C-21-14	12. C-21-19	17. C-21-24	22. C-21-32
3. C-21-5	8. C-21-15	13. C-21-20	18. C-21-25	23. C-21-33
4. C-21-7	9. C-21-16	14. C-21-21	19. C-21-26	24. C-21-35
5. C-21-12	10. C-21-17	15. C-21-22	20. C-21-30	

c. CASES/INVESTIGATIONS

1. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2
2. Case No. 2020-02, alleging violations of Campaign Finance Ethics Rule 2

15. **New Business.** This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Engle

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

16. Adjournment. Chair Engle

-NEXT REGULAR MEETING-
December 10, 2021 at 10:00 a.m.
Oklahoma State Capitol Room 230
Oklahoma City, Oklahoma



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting December 10, 2021, at 10:00 o'clock a.m. The Commission will meet at the Oklahoma State Capitol, Room 230, 2300 N. Lincoln Blvd., Oklahoma City, Oklahoma.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Engle
2. Open Meeting Act Compliance. Chair Engle
3. Introductions and Announcements. Chair Engle
4. Administration of Oath of Office to newly appointed Commissioner Howard Johnson. Chair Engle
5. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
6. Comments and Explanation of the "Hope Centered State" program by Oklahoma's Hope Ambassador, Tammy Williams or her designee. Chair Engle
 - a. Consideration and discussion by Commissioners and Staff.
7. Consideration, discussion, and possible action on minutes for the regular meeting, public comment, and executive session held November 12, 2021. Chair Engle
8. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the month of November 2021
 - b. FY 23 Budget Request Hearing
 - c. COGEL Conference 2021
 - d. Administrative Compliance Order Process Update
 - e. Compliance and Education Report
 - f. The Guardian System estimate update for removing lobbyist principals from being copied on Proposed Compliance Orders for Late Filings, and Final Compliance Orders for Late Filings, and adding a process to notify lobbyist principals of a Compliance Order that remains unpaid more than 30 days after a Final Order has been issued.
 - g. Feedback from Regulated Community:

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

1. **Lobbyist**

- i. Ethics Rules should allow costs of transportation of state officers and employees to be paid by lobbyists and lobbyist principals

2. **Local Party Committees**

- i. Ethics Rules should establish a threshold contribution amount at which point local (non-state, non-federal) political party committees

9. General Counsel's Report. Ms. McCord

- a. Notable cases and trends in Oklahoma and other jurisdictions

10. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(1), (B)(4) and (B)(7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified in agenda item 11. Chair Engle

- a. **Statement by Attorney.** The information to be discussed in agenda item 11 requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions, where disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
- b. Determination, pursuant to Counsel's advice as set forth above, to go into executive session in the Ethics Commission office, Room G-27, of the Oklahoma State Capitol.

11. Executive Session. Chair Engle

a. **LAWSUITS**

1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. **COMPLAINTS**

1. C-20-6
2. C-20-10
3. C-21-2
4. C-21-8
5. C-21-27

c. **CASES/INVESTIGATIONS**

1. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2 and *Ethics Commission v. Coleman*, Oklahoma County, Case No. CJ-2021-4865
2. Case No. 2020-01, alleging violations of Campaign Finance Ethics Rule 2
3. Case No. 2020-02, alleging violations of Campaign Finance Ethics Rule 2
 - a. Including consideration and discussion pursuant to Ethics Rule 6.10 to extend the deadline to provide a response as requested by Respondent(s).
4. Case No. 2021-10, alleging violations of Campaign Finance Ethics Rule 2
- d. Discussion of annual employment performance evaluation, including establishment or modification of employment performance standards, for the Executive Director
12. Action to return to open session. Chair Engle
13. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for discussion in Executive Session in agenda item 11. General Counsel McCord
14. Consideration, discussion, and possible action on the following items considered in executive session. Chair Engle
 - a. **LAWSUITS**
 1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D
 - b. **COMPLAINTS**
 1. C-20-6
 2. C-20-10
 3. C-21-2
 4. C-21-8
 6. C-21-27
 - c. **CASES/INVESTIGATIONS**
 1. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2 and *Ethics Commission v. Coleman*, Oklahoma County, Case No. CJ-2021-4865
 2. Case No. 2020-01, alleging violations of Campaign Finance Ethics Rule 2
 3. Case No. 2020-02, alleging violations of Campaign Finance Ethics Rule 2

- a. Including determination pursuant to Ethics Rule 6.10 to extend the deadline provided therein in order to provide a response as specified by Respondent(s).
4. Case No. 2021-10, alleging violations of Campaign Finance Ethics Rule 2
15. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Engle
16. Adjournment. Chair Engle

-NEXT REGULAR MEETING-
January 14, 2021 at 10:00 a.m.
Oklahoma State Capitol Room 230
Oklahoma City, Oklahoma